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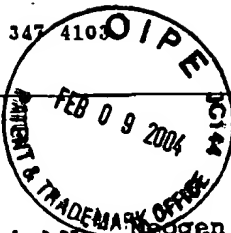
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Practitioner's Docket No. Neogen 4.1-32**PATENT**

☒ Applicant Joseph L. DiCesare and John T. McCaffrey ☐ Patentee
☒ Application No. 09/887,703 ☐ Patent No.
☒ Filed on March 29, 2001 ☐ Issued on

Title: POLYMERIC MEDIUM FOR THE RETENTION OF REAGENT SPECIES FOR USE IN A HAND-HELD DEVICE FOR THE RELATIVELY RAPID DETECTION
STATEMENT OF STATUS AS SMALL ENTITY OF THE PRESENCE OF AN ANALYTE OF INTEREST IN A SAMPLE
 (37 C.F.R. § 1.27(a)(2))—SMALL BUSINESS CONCERN

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern Neogen Corporation
 Address of Small Business Concern 620 Leshar Place
Lansing, Michigan 48912

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 C.F.R. § 121, and in 37 C.F.R. § 1.27(a)(2), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in

- ☐ the specification filed herewith, with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as a person under 37 C.F.R. § 1.27(a)(1), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.27(a)(2) or a nonprofit organization under 37 C.F.R. § 1.27(a)(3).

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 C.F.R. § 1.27)

(Small Entity—Small Business (2-4)—page 1 of 2)

Each such person, concern or organization having any rights in the invention is listed below.

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern or organization is listed below.

Name _____
Address _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Name _____
Address _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 C.F.R. § 1.28(b))

NOTE: The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, whether a practitioner or non-practitioner, constitutes a certification under § 10.18(b) of this chapter. Violations of § 10.18(b)(2) of this chapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 10.18(c) of this chapter. Any practitioner violating § 10.18(b) may also be subject to disciplinary action. See §§ 10.18(d) and 10.29(c)(15). 37 C.F.R. § 1.410(2).

Name of Person Signing Lion M. Bohannon
Title of Person if Other Than Owner Chief Operating Officer
Address of Person Signing 620 Lasher Place
Lansing, Michigan 48912

SIGNATURE Lion M. Bohannon Date Feb. 3, 2004

02/02/04 15:44 517 347 4103

IAN C MCLEOD

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STATEMENT UNDER 37 CFR 1.73(b)

Applicant/Patent Owner: Joseph L. DiCesare and John T. McCaffreyApplication No./Patent No.: 09/887,703 Filed/Issue Date: March 29, 2001Title: POLYMERIC MEDIUM FOR THE RETENTION OF REAGENT SPECIES FOR
USE IN A HAND-HELD DEVICE FOR THE RELATIVELY RAPID
DETECTION OF THE PRESENCE OF AN ANALYTE OF INTEREST IN A SAMPLE

Neogen Corporation Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

I state that I am:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The subject (by percentage) of its ownership interest is _____ %.

In the patent application/patent identified above by virtue of either:

A. ☒ An assignment, from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013332, Frame 0834, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/2/2004

Date

(517) 372-9200

Telephone number

Len M. Bohannon

Typed or printed name

Len M Bohannon

Signature

Chief Operating Officer

Title

The collection of information is required by 37 CFR 1.73(b). The information is required to obtain or retain a benefit by the parties which is in the (and by the USPTO, to process) an application. Confidentiality is provided by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. There will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Coordinator for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

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(Statement Under 37 C.F.R. 1.73(b) (PTO/SB/08) (18-15.1)—page 1 of 1)



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Neogen 41-30-3

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OKEMOS, MI 48864

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RECORDATION DATE: 09/27/2002

REEL/FRAME: 013332/0834
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PERKINELMER INSTRUMENTS LLC

DOC DATE: 08/26/2002

ASSIGNEE:

NEOGEN CORPORATION
620 LESHER PLACE
LANSING, MICHIGAN 48912

SERIAL NUMBER: 09821149
PATENT NUMBER:

FILING DATE: 03/29/2001
ISSUE DATE:

SERIAL NUMBER: 09821301
PATENT NUMBER:

FILING DATE: 03/29/2001
ISSUE DATE:

SERIAL NUMBER: 09645977
PATENT NUMBER:

FILING DATE: 03/29/2001
ISSUE DATE:

SERIAL NUMBER: 09887703
PATENT NUMBER:

FILING DATE: 03/29/2001
ISSUE DATE:



013332/0834 PAGE 2

SERIAL NUMBER: 09821806
PATENT NUMBER:

FILING DATE: 03/29/2001
ISSUE DATE:

SERIAL NUMBER: 09821571
PATENT NUMBER:

FILING DATE: 03/29/2001
ISSUE DATE:

SERIAL NUMBER: 09821148
PATENT NUMBER:

FILING DATE: 03/29/2001
ISSUE DATE:

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ASSIGNMENT DIVISION
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ASSIGNMENT

FOR VALUE RECEIVED, PERKINELMER INSTRUMENTS LLC, a corporation organized and existing under the laws of the State of Delaware, and having an office and place of business at 761 Main Avenue, Norwalk, Connecticut 06859-0119, hereby sells, assigns and transfers to NEOGEN CORPORATION, a corporation of Michigan, having a place of business at 620 Leshar Place, Lansing, Michigan 48912, and to the successors, assigns and legal representatives thereof, the entire right, title and interest in and to the following patent applications:

<u>Serial No.</u>	<u>Filing Date</u>	<u>M & M Ref No.</u>
09/821,149	March 29, 2001	Neogen 4.1-30
09/821,301	March 29, 2001	Neogen 4.1-31
09/645,977	March 29, 2001	Neogen 4.1-32
09/887,703	March 29, 2001	Neogen 4.1-32
09/821,806	March 29, 2001	Neogen 4.1-33
09/821,571	March 29, 2001	Neogen 4.1-34
09/821,148	March 29, 2001	Neogen 4.1-35

Signed at Shelton, CT, this 26th day of August, 2002.

PERKINELMER INSTRUMENTS LLC

Stephen H. Delity

Stephen H. Delity

Title: Informatics Business Manager

Date: August 26, 2002

STATE OF CONNECTICUT

:

COUNTY OF Fairfield

:

ss.

Before me personally appeared Stephen Delity, who is known to me and who executed the foregoing Assignment voluntarily and in his capacity as Informatics Business Manager of PerkinElmer Instruments LLC.

SEAL

Gayle Jones
Notary Public

My Commission Expires: _____ **Commission Exp. Dec. 31, 2002**

AGREED:

NEOGEN CORPORATION

James L. Herbert
James L. Herbert, President

Date: August 30, 2002